

ERCBC Persistent Noncompliance Criteria 2012



TABLE OF CONTENTS

Applications Branch.....	5
<i>Facilities Applications Group</i>	5
Compliance Category: Facilities Technical.....	5
Compliance Category: Participant Involvement.....	6
Compliance Category: Pipelines/Pipeline Installations Technical	7
Compliance Category: Wells Technical	8
<i>Resources Applications Group</i>	9
Compliance Category: Acid Gas Injection/Disposal	9
Compliance Category: Coalbed Methane Schemes	10
Compliance Category: Commingling	11
Compliance Category: Concurrent Production.....	12
Compliance Category: Control Wells.....	13
Compliance Category: Enhanced Recovery Schemes	14
Compliance Category: Equity Orders (Common Carrier, Common Processor, Common Purchaser, Compulsory Pooling, Rateable Take, Turner Valley Units).....	15
Compliance Category: Gas Allowables	16
Compliance Category: Gas Cycling.....	17
Compliance Category: Gas Storage.....	18
Compliance Category: Ground Water Protection	19
Compliance Category: Injection/Disposal	20
Compliance Category: Production without Common Ownership	21
Compliance Category: Spacing.....	22
Compliance Category: Drilling Waste Audits	23
Compliance Category: Material Storage Audits	24
Compliance Category: Oilfield Waste Generator Audits	25
Compliance Category: Oilfield Waste Receiver Audits	26
Field Surveillance & Operations Branch	27
<i>Liability Management Group</i>	27
Compliance Category: Noncompliance with Liability Management Program Requirements	27
Compliance Category: Orphan Levy	27
<i>Emergency Management Group</i>	28
Compliance Category: General ERP Requirements	28
Compliance Category: Technical ERP Requirements	29
<i>Technical Operations Group</i>	30

Compliance Category: Production Measurement and Reporting	30
Compliance Category: Glycol Dehydrator Benzene Emissions	31
Compliance Category: Flaring, Incinerating and Venting Audits	32
Compliance Category: Sulphur Recovery Guidelines	33
Compliance Category: Packer Testing Audits.....	34
Compliance Category: Surface Casing Vent Flow/Gas Migration Audits	35
Compliance Category: Well Casing Failure Audits.....	36
Compliance Category: Shallow Fracturing Operations Assessments.....	37
Compliance Category: Suspended Well Audits.....	38
Compliance Category: Well Abandonment Audits	39
Compliance Category: Well Casing Design Requirements	40
<i>Field Operations Group</i>	41
Compliance Category: Drilling Operations	41
Compliance Category: Well Servicing	42
Compliance Category: Drilling Waste.....	43
Compliance Category: Waste Facilities.....	44
Compliance Category: Oil Facilities.....	45
Compliance Category: Gas Facilities.....	46
Compliance Category: Well Site Inspections	47
Compliance Category: Pipelines.....	48
Oil Sands and Coal Branch.....	49
<i>Oil Sands and Coal Mining Group</i>	49
Compliance Category: Mineable Oil Sands.....	49
Compliance Category: Coal Mines.....	50
Compliance Category: Coal Preparation Plants.....	51
<i>In Situ Oil Sands Group</i>	52
Compliance Category: Bitumen Upgraders	52
Compliance Category: Commercial In Situ Oil Sands Schemes.....	53
Compliance Category: Experimental In Situ Oil Sands Schemes	54
Compliance Category: Gas Production in the Defined Oil Sands Strata.....	55
Compliance Category: Industrial Development Permits	56
Compliance Category: In Situ Waterflood Schemes	57
Compliance Category: Primary In Situ Oil Sands Schemes.....	58
Geology, Environmental Science and Economics Branch	59
<i>Market Intelligence and Regulatory Analysis Group</i>	60
Compliance Category: Gas Removal Permits.....	60
<i>Reserves and Pore Space Management Group</i>	61
Compliance Category: Oil Overproduction	61

Information & System Services Branch	62
<i>Information Collection and Dissemination Group</i>	62
Compliance Category: Pressure, Deliverability and Fluid Analysis Testing and Reporting	62
Compliance Category: Well Drilling Log Reporting.....	62
Compliance Category: Well Drilling and Completions Data Requirements	62
Compliance Category: Directional Surveys.....	62
Compliance Category: Monthly Volumetric Reporting	63
Compliance Category: Well Status Reporting.....	63

Applications Branch

Facilities Applications Group

Audit Section

Compliance Category: Facilities Technical

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	180 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Facilities Applications Group

Audit Section

Compliance Category: **Participant Involvement**

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	180 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Facilities Applications Group

Audit Section

Compliance Category: **Pipelines/Pipeline Installations Technical**

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	180 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Facilities Applications Group

Audit Section

Compliance Category: Wells Technical

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	180 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: Acid Gas Injection/Disposal

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥2	365 day rolling window	180 days
Monitor	Must intervene and educate	1		
Low	May intervene and educate	NA		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: **Coalbed Methane Schemes**

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	$\geq 3\%$	365 th day, or at the conclusion of an audit	180 days, or must improve at next audit (as applicable)
Monitor	Must intervene and educate	2 – 2.99%		
Low	May intervene and educate	1 – 1.99%		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: Commingling

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3%	365 th day	180 days
Monitor	Must intervene and educate	2 – 2.99%		
Low	May intervene and educate	1 – 1.99%		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: Concurrent Production

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	365 day rolling window	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: Control Wells

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3%	365 th day	180 days
Monitor	Must intervene and educate	2 – 2.99%		
Low	May intervene and educate	1 – 1.99%		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: **Enhanced Recovery Schemes**

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	$\geq 3\%$	365 th day, or at the conclusion of an audit	180 days, or must improve at next audit (as applicable)
Monitor	Must intervene and educate	2 – 2.99%		
Low	May intervene and educate	1 – 1.99%		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: Equity Orders (Common Carrier, Common Processor, Common Purchaser, Compulsory Pooling, Rateable Take, Turner Valley Units)

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥ 2	365 day rolling window	180 days
Monitor	Must intervene and educate	1		
Low	May intervene and educate	NA		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: Gas Allowables

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥ 3	1825 day (5 year) rolling window	1095 days (3 years)
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: **Gas Cycling**

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	$\geq 3\%$	365 th day, or at the conclusion of an audit	180 days, or must improve at next audit (as applicable)
Monitor	Must intervene and educate	2 – 2.99%		
Low	May intervene and educate	1 – 1.99%		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: **Gas Storage**

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	$\geq 3\%$	365 th day, or at the conclusion of an audit	180 days, or must improve at next audit (as applicable)
Monitor	Must intervene and educate	2 – 2.99%		
Low	May intervene and educate	1 – 1.99%		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: **Ground Water Protection**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥ 3	365 day rolling window	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: **Injection/Disposal**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥ 3	365 day rolling window	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: Production without Common Ownership

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	365 day rolling window	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Resources Applications Group

Enforcement and Surveillance Section

Compliance Category: **Spacing**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥ 3	365 day rolling window	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Waste and Storage Section

Compliance Category: Drilling Waste Audits

Low Risk: At the Conclusion of an Audit

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	3	At the conclusion of an audit	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Waste and Storage Section

Compliance Category: Material Storage Audits

Low Risk: At the Conclusion of an Audit

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	3	At the conclusion of an audit	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		



Waste and Storage Section

Compliance Category: Oilfield Waste Generator Audits

Low Risk: At the Conclusion of an Audit

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	3	At the conclusion of an audit	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Waste and Storage Section

Compliance Category: Oilfield Waste Receiver Audits

Low Risk: At the Conclusion of an Audit

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	3	At the conclusion of an audit	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Field Surveillance & Operations Branch

Liability Management Group

Liability Management Section

Compliance Category: Noncompliance with Liability Management Program Requirements

Low Risk: Calendar Review

High Risk: NA

Liability Management Section

Compliance Category: Orphan Levy

Low Risk: 2 Year Review

High Risk: NA

Emergency Management Group

Emergency Planning and Assessment Section

Compliance Category: General ERP Requirements

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	90 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Emergency Management Group

Emergency Planning and Assessment Section

Compliance Category: **Technical ERP Requirements**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	90 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Technical Operations Group

Production Operations Section

Compliance Category: Production Measurement and Reporting

Low Risk: Bi-Annual Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	90 day rolling window	365 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Technical Operations Group

Production Operations Section

Compliance Category: Glycol Dehydrator Benzene Emissions

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	3	1095 days (3-year rolling window)	365 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		



Technical Operations Group

Production Operations Section

Compliance Category: **Flaring, Incinerating and Venting Audits**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥2	365 day rolling window	180 days
Monitor	Must intervene and educate	1		
Low	May intervene and educate	NA		

Technical Operations Group

Production Operations Section

Compliance Category: Sulphur Recovery Guidelines

Low Risk: Calendar Review

High Risk: As per s.7, ID 2001-03, Sulphur Recovery Guidelines For The Province of Alberta

Technical Operations Group

Well Operations Section

Compliance Category: Packer Testing Audits

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥7%	Annual review	60 days
Monitor	Must intervene and educate	5 – 6.99%		
Low	May intervene and educate	3 – 4.99%		

Technical Operations Group

Well Operations Section

Compliance Category: **Surface Casing Vent Flow/Gas Migration Audits**

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	$\geq 7\%$	Annual review	60 days
Monitor	Must intervene and educate	5 – 6.99%		
Low	May intervene and educate	3 – 4.99%		

Technical Operations Group

Well Operations Section

Compliance Category: Well Casing Failure Audits

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥7%	Annual review	60 days
Monitor	Must intervene and educate	5 – 6.99%		
Low	May intervene and educate	3 – 4.99%		

Technical Operations Group

Well Operations Section

Compliance Category: Shallow Fracturing Operations Assessments

Low Risk: TBD

High Risk: TBD



Technical Operations Group

Well Operations Section

Compliance Category: **Suspended Well Audits**

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	$\geq 7\%$	Annual review	60 days
Monitor	Must intervene and educate	5 – 6.99%		
Low	May intervene and educate	3 – 4.99%		

Technical Operations Group

Well Operations Section

Compliance Category: Well Abandonment Audits

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥7%	Annual review	60 days
Monitor	Must intervene and educate	5 – 6.99%		
Low	May intervene and educate	3 – 4.99%		

Technical Operations Group

Well Operations Section

Compliance Category: Well Casing Design Requirements

Low Risk: TBD

High Risk: TBD



Field Operations Group

Compliance Category: Drilling Operations

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	90 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Field Operations Group

Compliance Category: **Well Servicing**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	90 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Field Operations Group

Compliance Category: **Drilling Waste**

Low Risk: **Calendar Review**

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	90 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Field Operations Group

Compliance Category: **Waste Facilities**

Low Risk: **Calendar Review**

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	90 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Field Operations Group

Compliance Category: **Oil Facilities**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥ 3	90 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Field Operations Group

Compliance Category: **Gas Facilities**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	90 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Field Operations Group

Compliance Category: **Well Site Inspections**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	90 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Field Operations Group

Compliance Category: **Pipelines**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	90 day rolling window	60 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Oil Sands and Coal Branch

Oil Sands and Coal Mining Group

Compliance Category: Mineable Oil Sands

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	365 day rolling window	365 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Oil Sands and Coal Mining Group

Compliance Category: **Coal Mines**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥ 3	365 day rolling window	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Oil Sands and Coal Mining Group

Compliance Category: **Coal Preparation Plants**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	365 day rolling window	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

In Situ Oil Sands Group

Compliance Category: Bitumen Upgraders

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	365 day rolling window	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

In Situ Oil Sands Group

Compliance Category: **Commercial In Situ Oil Sands Schemes**

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3%	365 th day or at the conclusion of an audit	180 days or must improve at next audit (as applicable)
Monitor	Must intervene and educate	2 – 2.99%		
Low	May intervene and educate	1 – 1.99%		

In Situ Oil Sands Group

Compliance Category: **Experimental In Situ Oil Sands Schemes**

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3%	365 th day or at the conclusion of an audit	180 days or must improve at next audit (as applicable)
Monitor	Must intervene and educate	2 – 2.99%		
Low	May intervene and educate	1 – 1.99%		

In Situ Oil Sands Group

Compliance Category: Gas Production in the Defined Oil Sands Strata

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	365 day rolling window	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

In Situ Oil Sands Group

Compliance Category: **Industrial Development Permits**

Low Risk: Calendar Review

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3	365 day rolling window	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

In Situ Oil Sands Group

Compliance Category: **In Situ Waterflood Schemes**

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3%	365 th day or at the conclusion of an audit	180 days or must improve at next audit (as applicable)
Monitor	Must intervene and educate	2 – 2.99%		
Low	May intervene and educate	1 – 1.99%		

In Situ Oil Sands Group

Compliance Category: Primary In Situ Oil Sands Schemes

Low Risk: Calendar Review

High Risk:
(Option 2)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Enforcement Action (Persistent Noncompliance) and must intervene and educate	≥3%	365 th day or at the conclusion of an audit	180 days or must improve at next audit (as applicable)
Monitor	Must intervene and educate	2 – 2.99%		
Low	May intervene and educate	1 – 1.99%		

Geology, Environmental Science and Economics Branch

Market Intelligence and Regulatory Analysis Group

Analysis and Forecast Section

Compliance Category: Gas Removal Permits

Low Risk: Calendar Review

High Risk: NA

Reserves and Pore Space Management Group

Oil Reserves Section

Compliance Category: Oil Overproduction

Low Risk: NA

High Risk:
(Option 1)

Status	ERCB Action	Noncompliance Number Criteria	Review Period	Operate in Compliance
Persistent	High Risk Noncompliance Action 2 and must intervene and educate	≥3	365 day rolling window	180 days
Monitor	Must intervene and educate	2		
Low	May intervene and educate	1		

Information & System Services Branch

Information Collection and Dissemination Group

Well Test Data Section

Compliance Category: Pressure, Deliverability and Fluid Analysis Testing and Reporting

Low Risk: Calendar Review

High Risk: NA

Well Log Section

Compliance Category: Well Drilling Log Reporting

Low Risk: Calendar Review

High Risk: NA

Production/Well Data Section

Compliance Category: Well Drilling and Completions Data Requirements

Low Risk: Calendar Review

High Risk: NA

Production/Well Data Section

Compliance Category: Directional Surveys

Low Risk: Calendar Review

High Risk: NA

Production/Well Data Section

Compliance Category: Monthly Volumetric Reporting

Low Risk: Calendar Review

High Risk: NA

Production/Well Data Section

Compliance Category: Well Status Reporting

Low Risk: Calendar Review

High Risk: NA